UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

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WATERFORD TOWNSHIP POLICE & FIRE: RETIREMENT SYSTEM, Individually and on: Behalf of All Others Similarly Situated, :

Plaintiff,

VS.

REGIONAL MANAGEMENT CORP., PALLADIUM EQUITY PARTNERS III, L.P., PALLADIUM EQUITY PARTNERS III, L.L.C., PARALLEL 2005 EQUITY FUND, LP, PARALLEL 2005 EQUITY PARTNERS, LP, THOMAS F. FORTIN, C. GLYNN QUATTLEBAUM, DONALD E. THOMAS, DAVID PEREZ, ROEL C. CAMPOS, RICHARD T. DELL'AQUILA, RICHARD A. GODLEY, JARED L. JOHNSON, ALVARO G. DE MOLINA, CARLOS PALOMARES, ERIK A. SCOTT, STEPHENS INC., KEEFE, BRUYETTE & WOODS, INC., BMO CAPITAL MARKETS CORP., JMP SECURITIES LLC and FBR CAPITAL MARKETS & CO.,

Defendants.

Civil Action No. 14 Civ. 3876 (LTS)(FM)

CLASS ACTION

NOTICE OF APPEAL

Notice is hereby given that Waterford Township Police & Fire Retirement System and City

of Roseville Employees' Retirement System, lead plaintiffs in this case, on behalf of themselves and

all others similarly situated, hereby appeal to the United States Court of Appeals for the Second

Circuit from the Judgment entered by the Clerk of the Court on January 30, 2017 in favor of the

defendants [D.E. No. 103]. This Notice encompasses the Judgment, as well as all prior and

subsequent rulings related to or merged into the Judgment, including: the Memorandum Opinion and

Order, entered on January 27, 2017, that denied plaintiffs' motion for leave to amend and to file a

Third Amended Complaint and directed the entry of judgment dismissing the Second Amended

Complaint with prejudice [D.E. No. 102]; and the Opinion and Order, entered on March 30, 2016,

that granted defendants' motions to dismiss [D.E. No. 84]. This appeal is taken from the entirety of

the Judgment and the Memoranda and Orders described herein, as well as each and every adverse

aspect thereof.

DATED: March 1, 2017

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/s/ Samuel H. Rudman

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Lead Counsel and Attorneys for Plaintiffs

CERTIFICATE OF SERVICE

I, Samuel H. Rudman, hereby certify that on March 1, 2017, I authorized a true and correct copy of the foregoing document to be electronically filed with the Clerk of the Court using the CM/ECF system, which will send notification of such public filing to all counsel registered to receive such notice.

/s/ Samuel H. Rudman
SAMUEL H. RUDMAN